



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 9758

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.	
10/697,851	10/30/2003 RULE	705	3691	30894-101	
APPLICANTS Thomas Peterffy, Greenwich, CT; Ken Leibler, Chestnut Hill, MA; Luc Bertrand, Baie d'Urfe, CANADA;					
** CONTINUING DATA ***** This appln claims benefit of 60/422,408 10/30/2002					
** FOREIGN APPLICATIONS *****					
** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY ** 01/30/2004					
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and /BIJENDRA K SHRESTHA/ Acknowledged Examiner's Signature	<input type="checkbox"/> Met after Allowance Initials	STATE OR COUNTRY CT	SHEETS DRAWINGS 5	TOTAL CLAIMS 20	INDEPENDENT CLAIMS 6
ADDRESS BURNS & LEVINSON, LLP 125 SUMMER STREET BOSTON, MA 02110 UNITED STATES					
TITLE Price improvement processor for electronic trading of financial instruments					
FILING FEE RECEIVED 514	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	